



## **SURPLUS LINES CARRIERS ELIGIBILITY REQUIREMENTS FOR KENTUCKY**

New Surplus Lines Carriers requesting eligibility in Kentucky are requested to complete and forward the following to the above address:

➤ **Form 916 - Kentucky Surplus Lines Insurer Information Sheet**

- Form 916 is available at <http://insurance.ky.gov/Documents/FORM916Update071708.pdf>
- Form 916 shall be updated as necessary.
- \$5.00 filing fee is required; check is payable to **KENTUCKY STATE TREASURER**.

➤ **Form 800 – Kentucky Designation of Person to Receive Legal Process**

- Form 800 shall be filed if business is being written in Kentucky.
- Form 800 shall be updated as necessary.
- Form 800 is available at: <http://insurance.ky.gov/Documents/Form800Rv1207.pdf>
- \$5.00 filing fee is required; check is payable to **KENTUCKY STATE TREASURER**.

➤ **Evidence of Financial Qualifications (KRS 304.10-070)**

- Copy of current financial statement.

➤ **Annual Filings**

- If an Annual Statement is filed electronically with the NAIC:
  - The Jurat Page shall be filed with the Kentucky Department of Insurance.
  - \$100 filing fee is required.
- If an Annual Statement is not filed with the NAIC:
  - The Annual Statement shall be filed with the Kentucky Department of Insurance.
  - \$100 filing fee is required.

